

Shinsuke HENMI, et al.

Appln. No. 09/695,840

Group Art Unit: 2834

Confirmation No.: Not Assigned

Examiner: K. ADDISON

Filed: October 26, 2000

For: BRUSH HOLDER FOR DYNAMO-ELECTRIC MACHINE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), but before payment of the Issue Fee, and therefore Applicants are submitting herewith a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p), and a Statement

Under 37 C.F.R. § 1.97(e). Please charge any necessary fee or credit any gyerpayment in 180.00 OP

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INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No. 09/695,840

connection with this Information Disclosure Statement to Deposit Account No. 19-4880. A

duplicate copy of this paper is attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicants enclose herewith a copy of a Communication from a

Foreign Patent Office in a counterpart application citing such documents, together with an

English-language version (if not already included) of that portion of the Communication

indicating the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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Date: January 17, 2002

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Ellen R. Smith

Attorney Docket No.: Q61431

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## IN THE UNKIND STAFFES PATENT AND TRADEMARK OFFICE

In re application of

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Ellen R. Smith

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